

Regulations Governing
Ph.D. Programme
of
KLE Technological University
2025



KLE Technological University
(Established under Karnataka Act No.22, 2013)

Ph.D. REGULATIONS 2025

In exercise of the powers conferred by Section-37 of The KLE Technological University Act, 2012 (Karnataka Act 22 of 2013), the Board of Governors hereby approves the following " Regulations Governing **Ph.D. Programme** of KLE Technological University 2025", submitted by the Academic Council, as per the resolution no. 20.6 of 20th Board of Governors Meeting held on 06-06-2025.

Preamble:

The University being a center for Knowledge creation, it becomes very important that the Research activities becoming an integral part of the institution. The research in the areas of core programmes and that of interdisciplinary nature are critical for the growth and sustainability of any Higher Education Institute. The research which addresses the local needs with the quality of global level make the University vibrant. This helps in uplifting the society, and continuously inspiring the students to reach acceptable at international levels. Thus, a University being an institution of higher learning has to offer Ph.D. programmes along with undergraduate and post graduate programs. Doctoral education will provide opportunities for multidisciplinary work, in academia, government and industry, leading to critical and interdisciplinary thinking and research. Hence, it is important to encourage research by awarding the doctoral degrees by the University, by adhering to UGC, New Delhi regulations, (UGC notification New Delhi dated 7th November 2022). In view of this to regulate, in an orderly manner, the process of admission, registration of students, assessment of research progress, enable students to conduct quality research with-in the specified period, and fairly adjudicate the thesis to award Research degree by KLE Technological University, with due consideration of UGC norms, and in exercise of the powers conferred under KLE TECHNOLOGICAL UNIVERSITY ACT 2012, the regulations for Award of Ph.D. Degree Regulations-2025 and its amendments, including all other previous Regulations for awarding Ph.D. Degree, the University hereby makes the following Regulations, namely: -

1.0 Preliminary

1.1 SHORT TITLE, APPLICATION, AND COMMENCEMENT

- (1) These Regulations may be called KLE Technological University (Standards and Procedure for Award of Ph.D. Degree) Regulations – 2025.
- (2) These Regulations shall apply to all Ph.D. Programmes conducted at the

KLE Technological University, and its off campuses / constituent Colleges / approved Research Centre's.

- (3) These Regulations shall also be applicable to the Candidates seeking registration and admitted for Ph.D. in the Faculty of Engineering, Faculty of Architecture, Faculty of Applied Science, Faculty of Management, Faculty of Law and Interdisciplinary Subject Areas.
- (4) They shall come into force from the date of their Notification by the University.

1.2 Definitions

- (1) "Academic council (AC)" means the Academic Authority of the University.
- (2) "Academic Year" means two consecutive semesters (one odd followed by one even) that constitute one academic year.
- (3) "Act" means the KLE Technological University Act, 2013;
- (4) "PhD Admission & Monitoring Committee" means the Committee constituted by the Vice Chancellor for carrying out admission of candidates for Ph.D. programme and other related work.
- (5) "AICTE" means the All-India Council for Technical Education established at New Delhi by an Act of Parliament in 1987.
- (6) "AIU" means Association of Indian Universities is an Organization and Association of major Universities in India. It evaluates the courses, syllabi, standards, and credits of foreign Universities pursued abroad and equates them in relation to various courses offered by Indian Universities.
- (7) "Course" means one of the specified units which go to comprise a programme of study;
- (8) "Course Work" means courses of study prescribed by the Faculty of Studies/ Research Center/Department to be undertaken by a student registered for the Ph.D. programme as per University norms;
- (9) "Credit" means the number of hours of instruction required per week over the duration of a semester. A three credit course in a semester means three one hour lectures per week, with each one-hour lecture counted as one credit;
- (10) "Cumulative Grade Point Average (CGPA)" means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places;
- (11) "Dean (Academics)" means the Dean of Academics at the University.
- (12) "Dean (R&D)" means Dean of Research and Development at the University.
- (13) "Degree" means a degree awarded by the University in accordance with the provisions of the UGC Act;

- (14) "Discipline" means a Faculty/Domain area of Ph.D. Programme, for example, Engineering, Management, Science, Commerce, Law etc.
- (15) "External Examiner" means an academician/researcher with published research work who is not part of the Higher Educational Institution where the Research scholar has registered for the Ph.D. programme.
- (16) "Foreign National (FN)" means a candidate who is from a foreign country and includes Non-Resident Indians (NRI) and Persons of Indian Origin (PIO) status.
- (17) "Grade Point" means a numerical weight allotted to each letter grade on a 10-pointscale;
- (18) "Higher Educational Institution (HEI)" means a University or an institution specified under Section (2) of UGC Regulations.
- (19) "Inter-disciplinary Research" means research conducted by a Research Scholar in two or more academic disciplines;
- (20) "Online Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- (21) "Open and Distance Learning Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- (22) "ORCID", means Open Researcher and Contributor ID, is used to identify a person particularly who is an academic author. The ORCID ID is a 16-digit number that is compatible with the ISO Standard (ISO 27729), e.g., <https://orcid.org/0000-0001-2345-6789>.
- (23) "Plagiarism" means the practice of taking some one else's work or idea and passing them as one's own;
- (24) "Pre - Synopsis Colloquium" means the presentation given by the Research Scholar before the Research Review Committee (RRC), highlighting his/her research work before submission of the final thesis.
- (25) "Programme" means a planned series of events/activities comprising of a combination of courses and activities leading to the award of Ph.D. Degree.
- (26) "Prospectus" means any document, whether in print or otherwise, issued for providing fair and transparent information relating to a HEI and programmes, to the general public (including to those seeking admission in such HEI) by the HEIs;
- (27) "Registrar" and "Controller of Examination" mean respectively the Registrar and "Controller of Examination" of the University.
- (28) "Research Review Committee (RRC)" means a committee constituted to review the progress of each Research Scholar.
- (29) "Research Scholar" means a Research Student registered under Full Time/Part Time Ph.D. category.
- (30) "Research Center (RC)" means an officially organized Center approved

by the University for the Scholars to pursue Ph.D. in an approved discipline.

- (31) "Research Supervisor" means a Faculty of the University or other Institutions or individual Scholars found to be eligible for guiding the Research Scholars as per UGC norms and approved by the University.
- (32) "Research Proposal" means a brief write – up giving an outline of the proposed research work which the Research Scholar shall submit along with the application for registration for Ph.D. programme;
- (33) "**Ph.D. Admission & Monitoring Committee (PAMC)**": means a committee constituted to supervise the admission process and monitor the progress of Research Scholars at the institution level.
- (34) "Specialization" means a sub-discipline or branch of any Degree Programme like Computer Science and Engineering, Electronics and Communication Engineering, Mechanical Engineering, etc., in Engineering and Technology or Business Management, Marketing Management, Supply Chain Management in MBA.
- (35) "SCOPUS/SCI/Web of Science Indexed Journals" refers to journals of higher scientific quality as compared to non-indexed journals. These are reputed professional journals or literary journals or publications in which the research articles or papers are selected for publication by panel of expert referees in the field.
- (36) "Transcript and Grade Card" means certificates issued to the successful candidates after completion of their Course work. Based on the grades earned, a grade card shall be issued to all the registered students after every semester. Transcript is a consolidated certificate issued at the end of completing the coursework. The grade card will display the programme details (Course code, title, number of credits, grade secured) along with SGPA of that semester.
- (37) "Trans-Disciplinary Research" means the research carried out in an area involving more than one discipline.
- (38) "UGC" means the University Grants Commission established at New Delhi, by an Act of Parliament in 1956.
- (39) "UGC-CARE Approved Journals" means journals selected by UGC to match global standards of high-quality research in all academic disciplines under its purview and to ensure prevention of academic misconduct that includes plagiarism in academic writing among students, Research Scholars, and faculty. CARE stands for Consortium for Academic and Research Ethics.
- (40) "University" means the KLE Technological University established under Karnataka Act No. 22, 2013 of the Act.
- (41) "Vice Chancellor or VC" means the Vice Chancellor of the University.
- (42) "Viva-Voce" means presentation of the research work by the Research Scholar followed by question – answer session, in front of subject

experts/examiners and invited audience for assessment and examination.

- (43) "KLE Tech-RET" means KLE Tech Research Entrance Test conducted by the University for admitting Candidates to Ph.D. programme.
- (44) "Website" means the University website at www.kletech.ac.in.

2.0 Eligibility for admission to PhD Programme

The Qualification criteria for eligibility to appear for the RET/Interview for admission to the Ph.D. programme are as follows:

2.1 Engineering

The Candidates shall possess a Master's Degree in Engineering / Technology or an equivalent Degree or M.S. (Research) Degree from the University or any other HEI recognized by the University, with a minimum CGPA of 6.75 out of 10 or 60% aggregate marks in the Master's Degree. However, in case of Biotechnology the candidate shall possess a Master's Degree in Biotechnology/Zoology/ an equivalent degree approved by the PhD Admission & Monitoring Committee.

Candidates with UG Degree: A candidate seeking admission after a 4-year/8-semester bachelor's degree in engineering programme shall be eligible for admission to Ph.D. degree provided he/she has with a minimum CGPA of 7.75 out of 10 or 70% aggregate marks in the Bachelor's Degree along with three years of experience equivalent to master degree in the field of research (To be decided by the **PhD Admission & Monitoring Committee**). The candidate who wants admission for full time/ part-time on deputation has to produce NoC from the employer. Candidates willing to do fulltime Ph. D. degree without deputation need to produce relevant certificate.

OR

A candidate seeking admission after a 4-year/8-semester bachelor's degree in engineering programme (only full time) shall be eligible for admission to Ph.D. degree provided he/she has a minimum CGPA of 8.25 out of 10 or 75% aggregate marks at the Bachelor's Degree. **PhD Admission & Monitoring Committee will interview the candidate for the selection.**

2.2 Management

The Candidates shall possess a regular mode PG Degree in Management or equivalent Degree from a recognized University with a minimum CGPA of 6.75 out of 10 or 60% aggregate marks at the Master's Degree.

2.3 Sciences

- (1) The Candidates shall possess a regular mode M.Sc. Degree in Physics, Chemistry, Mathematics or PG degree in such other allied disciplines, recognized by the University with a minimum CGPA of 6.75 out of 10 or

60 % aggregate marks at the Master's Degree.

Candidates completing one year (Two semester) Master's degree programme after a Four year (Eight semester) Bachelor's degree programme or a Two year (Four semester) Master's degree programme after a 3 year bachelor's degree programme or qualifications declared equivalent to the Master's degree by the corresponding statutory regulatory body, in the related specialization.

2.4 Architecture

- (1) **Candidates with PG Degree:** The Candidates shall possess Master's Degree in Architecture/ allied specialization or equivalent recognized by the University, with a minimum CGPA of 6.75 out of 10 or 60% aggregate marks at the Master's Degree in the respective discipline.
- (2) **Candidates with UG Degree :**The Candidates possessing Bachelor's Degree in Architecture or equivalent recognized by the University, with 10 years of experience in teaching/research/professional practice in Architecture shall also be eligible for admission, subject to approval by the **PhD Admission & Monitoring Committee.**

2.5 Law

The Candidates shall possess Master's degree in Law or an equivalent professional degree approved by the Bar Council of India or the UGC, from time to time, with a minimum CGPA of 6.75 out of 10 or 60% aggregate marks at the Master's Degree in the respective discipline.

2.6 Computer Applications

The Candidates shall possess Master's degree in Computer Applications or related areas other than the engineering degree shall be eligible for Ph.D. degree who is having a minimum CGPA of 6.75 out of 10 or 60% aggregate marks at the Master's Degree.

2.7 Engineering Education

The Candidates shall possess a Master's Degree in Engineering/Technology or an equivalent Degree or M.S. (Research) Degree from the University or any other HEI recognized by the University, with a minimum CGPA of 6.75 out of 10 or 60% aggregate marks in the Master's Degree.

2.8 Interdisciplinary/ Transdisciplinary and Other Subject Areas

The Candidates desirous of pursuing Ph.D. in interdisciplinary subject areas shall fulfill the following eligibility requirements:

- (1) The Candidate shall possess the Master's Degree in appropriate branch/subject/ discipline recognized by the University, of the minimum CGPA of 6.75 of the 10 or 60% aggregate marks.

(2) For interdisciplinary Research work, Degree shall be awarded in the programme in which the Candidate has been permitted to register for the Ph.D. programme by the PhD Admissions and Monitoring Committee.

Withstanding what is not listed above if the University introduces from time to time any other discipline, the appropriate eligibility may be taken into account as approved by the Academic Council.

Relaxation of Percentage of Marks for Admission.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ ST/ OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the UGC from time to time.

3.0 Categories of Research Scholars

There shall be provision for the following categories of research scholars for admission to PhD.

3.1 Full-Time Ph.D. Programme

- (1) A candidate in this category works full time for his/her PhD degree.
- (2) Candidates, who are not employed and Candidates who are sponsored/deputed are eligible for admission to Full time Ph.D. programme.
- (3) Candidates who are admitted to Full-Time Ph.D. programme shall not be allowed to take up any employment during the period of the programme.
- (4) However, they are eligible to receive scholarship/fellowship/stipend/assistantship, if any, with an intimation to the Registrar.

3.2 Part Time Ph.D. Programme

- (1) A candidate in this category is an employed person, who pursues the PhD programme while continuing the duties of his/her service.
- (2) Ph.D. programme through part-time mode shall be permitted, provided all the conditions stipulated in these Regulations are fulfilled.
- (3) In-service Candidates having a minimum professional experience of one year after their PG Degree and working in any Engineering College/ Polytechnic/ University/ Deemed to be University (recognized / accredited by appropriate bodies in India) or Research Staff of Public /Private /Corporate Organizations shall be eligible to pursue Ph.D. research on a Part- Time basis.
- (4) The candidate shall submit to the University a "No Objection Certificate" for a Part-Time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:

- i. The candidate is permitted to pursue studies on a Part-Time basis.
- ii. His/her official duties permit him/her to devote sufficient time for research.
- iii. If required, he/she shall be relieved from the duty to complete the course work.

(5) Not withstanding anything contained in these Regulations or any other law, for the time being in force, the University shall not permit conduct of Ph.D. programmes through distance and/or online mode.

(6) **Mandatory Residence Period:**
All Part-Time Research Scholars shall put in a minimum of sixty days of contact with the guide online or offline (Minimum of thirty days offline), during a year till the submission of the final Synopsis.

3.3 Admission of International Students to Ph.D. Programme

- (1) Each supervisor can guide upto two international research scholars on a supernumerary basis over and above the permitted number of Research scholars as specified in **UGC regulations 2022, clause 6.3 and clause 7.1.**
- (2) The University shall decide the selection procedure for Ph.D. admission of international students keeping in view the guidelines / norms in this regard issued by the statutory / regulatory bodies concerned from time to time.
- (3) NRI/FN/PIO Candidates shall be eligible for admission to Full-Time Ph.D. research programme. However, the Candidates shall have passed the UG/PG programmes in the appropriate branch from a University recognized/accredited by approved bodies in India with medium of instruction in English.
- (4) Foreign Nationals shall hold valid passport/visa, clearance from MHRD and other documents as mandated by the GOI throughout their studentship at the University.
- (5) Any foreign University Degree holders, either in UG or PG, are required to submit equivalence Certificate from an appropriate body.

4.0 Duration of the programme

4.1 Full Time

- (1) Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of registration to the Ph.D. programme.
- (2) A maximum of an additional two (2) years can be given however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.
- (3) Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation

of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission to the Ph.D. programme.

(4) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

4.2 Part Time

- (1) Ph.D. Programme shall be for a minimum duration of four (4) years, including course work, and a maximum duration of seven (7) years from the date of registration to the Ph.D. programme.
- (2) A maximum of an additional two (2) years can be given however, that the total period for completion of a Ph.D. programme should not exceed nine (9) years from the date of admission in the Ph.D. programme.
- (3) The female/disability (minimum of 40%) candidates may further be given extension, however, the total period for completion of a Ph.D. programme should not exceed ten (10) years from the date of admission to the Ph.D. programme.

5.0 Admission Procedure

The admission process to the Ph.D. Programme shall be as follows:

- (1) The admission shall be based on the criteria notified by the Registrar, keeping in view the guidelines/norms in this regard issued by the UGC.
- (2) Admission to the Ph.D. programme shall be made using the following methods:

5.1 University may admit students who qualify for fellowship/scholarship in UGC-NET/UGC-CSIR NET/ GATE/ CEED/ KSET and similar National level tests based on an interview.

And/or

5.2 University shall admit students through an Entrance Test, called **KLE TECH-RET**, conducted at the level of the University as per UGC norms. The Entrance Test syllabus shall consist of 50% of research methodology, and 50% shall be subject- specific.

5.3 Students who have secured 50% marks in the entrance test are only eligible to be called for the interview.

5.4 A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the UGC from time to time.

5.5 University will have the right to decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.

5.6 Provided that for the selection of candidates based on the entrance test

conducted by the University, a weightage of 70 % for the entrance test and 30 % for the performance in the interview/viva- voce shall be given.

6.0 The University shall maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the school/department/center), along with the details of Ph.D. scholars (specifying the name of the registered Ph.D. scholar, the topic of his/her research and the date of admission) admitted under them on the website of the institution and update this list every academic year.

7.0 The joining date of the candidate to the allotted PhD programme shall be his/her date of registration.

8.0 The PhD Admission & Monitoring Committee will supervise and guide the process of admission and is responsible for the conduct of entrance Test for Ph.D. admissions, scrutiny of applications and finalizing candidates to be exempted from RET. The committee shall meet at least TWO times during the process of Ph.D. Admission, however the committee shall meet as often as required.

Composition of PhD Admission & Monitoring Committee

1. Registrar - Chair person
2. Dean Academics - Member
3. Dean R&D – Member
4. Dean of Faculty (concerned) - Member
5. Controller of Examination- Member
6. PhD Admission & Monitoring Committee Coordinator

9.0 *Ph.D. Supervisor /Co-supervisor*

Every PhD student admitted in the University shall be allotted a Supervisor and if needed, a co-supervisor by the respective Dean of concerned Faculty and /or concerned Head of the Department.

(1) The PhD Admission & Monitoring Committee shall approve the PhD supervisors /co-supervisors, identified by the Schools based on the following eligibility criteria.

- i The full-time Professors/Associate Professors with PhD having at least five publications in peer-reviewed/refereed/indexed journals.
- ii The full-time Assistant Professors with PhD having at least three publications in peer-reviewed/refereed/indexed journals.

Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals or the contribution is equivalent to publications, the University may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing and approved by the Vice Chancellor.

- (2) Whereas supervisor of a student is normally from the School of his/her registration, a student may be allotted a co-supervisor from either the same school, other schools or an organization outside the University depending on the topic of research, especially when the topic of research is interdisciplinary/multidisciplinary in nature.
- (3) Faculty members such as Adjunct/Professor of Practice/Visiting Professor or with similar designations can be recognised only as co-supervisors subject to their eligibility.
- (4) An eligible Professor/Associate Professor/Assistant Professor can supervise up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
- (5) Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 3.3 (1) above.
- (6) Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

OR

Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to *supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.*

10.0 Course Work

- (1) Each PhD Scholar with master's degree admitted to the University shall undergo course work of a minimum of 12 credits, while under graduate degree will have course work of 18 credits, which shall include a course on "Research and Publication Ethics", a course on "Research Methodology" and other courses as recommended by the Research Review Committee.
- (2) A Ph.D. Scholar must obtain a minimum CGPA of 6.25 on a scale of 10 or equivalent (with minimum of 50% of marks in each course for considering that he/she has passed in that course) in the course work to be eligible to continue in the programme and submit the thesis.

- (3) All research scholars are required to assist the School/Department/Center in its academic activities such as research/teaching assistantship and/or any other activities as directed by the School/Department/Center.
- (4) A PhD research scholar shall complete the entire course work within two consecutive years from the date of provisional registration.
- (5) In case, the research scholar is not able to complete the course work within two consecutive years, the PhD Admission and Monitoring committee (PAMC) shall allow a further period to complete the course work on receipt of a written request from the candidate with recommendations of RRC with suitable reasons.

11.0 Research Review Committee (RRC)

The RRC shall be formed for each of the provisionally registered Ph.D. research scholar. The RRC shall be responsible for reviewing and monitoring the progress of the research scholar and send recommendations to **PAMC**.

Composition of the Research Review Committee: To be constituted by the Dean Academics, for each research scholar and shall consist of:

- (1) Dean of Faculty (Concerned) - Chair person
- (2) Head of the Department
- (3) One internal domain experts may be identified by the Head of the school/department/center on the recommendations of the Research Supervisor from time to time.
- (4) One external expert -Member (Optional)
- (5) Supervisor(s)
- (6) Senior Research Supervisor – Member Secretary

The RRC may be re-constituted by Dean Academics, in case of non- availability of existing member(s) or for any other valid reason, in consultation with the Research Supervisor (s).

Scope/Functions/Responsibilities of the RRC (Research Review Committee) shall be to review and recommend all activities related to progress of research scholar, which includes the following but not limited to;

- (1) To review and recommend reports related to
 - i. Half-yearly progress of each research scholar until his/her submission of the Ph.D. Thesis, and send its recommendations to PAMC.
 - ii. List of coursework (Part-I) to be registered.
 - iii. Comprehensive Viva-Voce (Part-II)
 - iv. Colloquium, Open Seminar
 - v. Pre-synopsis presentation (Part-III)
 - vi. Ph.D. Thesis (Part-IV)

Progress Monitoring

- (1) Each registered PhD student shall present the progress of his/her research before the Research Review Committee and submit a report in this regard at least once in six months as per the schedule declared by the concerned School.
- (2) The Research Review Committee shall provide its comments/recommendations in writing to the supervisor with a copy to the student and review their implementation in the subsequent progress reports.
- (3) If the progress of the research work is not satisfactory/not presented in successively two progress reports the Research Review Committee may recommend the cancellation of the registration of the student with reasons recorded in writing.
- (4) The periodic progress reports shall be maintained by the Schools/Department/Center with a copy submitted to the PAMC of the University.

13.0 Evaluation and Assessment of thesis

- (1) On completion of the research work as assessed by the supervisor and co-supervisor (if any), shall recommend for open seminar/pre-synopsis submission.
- (2) The research work for open seminar/pre-synopsis submission presentation before the Research Review Committee along with submission of report and will be open to the faculty and PhD scholars/students.
- (3) Research Review Committee may recommend the submission of draft thesis with corrections if any to the PhD Admission & Monitoring Committee or may ask the student to continue further research specifying the work to be completed.
- (4) Research Review Committee along with the recommendation of the draft thesis submission shall submit the following to the PhD Admission & Monitoring Committee of the University.
 - i. Requisite number of copies of the draft thesis and synopsis of the work.
 - ii. A list of external experts as thesis evaluators not less than six from institutes of national importance/recognised universities from India and/or abroad.
 - iii. A declaration by the student that there is no plagiarism of more than 10% excluding self-plagiarism, duly certified by the Supervisor/Co-Supervisor.

- iv. A declaration by the student that the research work is original and has not been submitted elsewhere for award of any degree/diploma.
- (5) PhD Admission & Monitoring Committee on recommendation by the Research Review Committee shall place the list of thesis evaluators before the Vice Chancellor.
- (6) The Vice Chancellor shall randomly select two evaluators.
- (7) PhD Admission & Monitoring Committee shall send synopsis to the selected evaluators and request them to intimate their acceptance. If not accepted the Vice Chancellor shall choose another evaluator from the list.
- (8) On acceptance by the evaluators the draft thesis shall be sent to them (hard/soft copies) for evaluation.
- (9) If both the evaluators accept the thesis with/without corrections, the student will defend his/her thesis in a viva-voce conducted by the office of Controller of Examinations before the evaluation board consisting of the supervisor/co-supervisor and at least one external evaluator. The defence will be open to Research Review Committee/faculty/research scholars/students or anyone interested.
- (10) If both evaluators reject the thesis, the student shall be declared ineligible for the award of PhD degree.
- (11) If one of the evaluators rejects the thesis, the thesis shall be sent to an alternate evaluator selected by Vice Chancellor from the list. If alternate evaluator accepts the thesis the viva-voce shall be conducted as per UGC 2022, clause 11 (6). If alternate evaluator also rejects the thesis, the student shall be declared ineligible for the award of PhD degree.
- (12) The evaluation reports shall be shared with the student and Supervisor/Co-supervisor for information and/or addressing the queries/comments of the evaluators.
- (13) The student will submit the final thesis incorporating the suggestions of the evaluators if any to the office of the Controller of Examinations.
- (14) The office of Controller of Examinations shall send a proposal recommending the award of the PhD degree to the Registrar office.
- (15) The Registrar office shall take out a notification regarding provisional award of the PhD degree.
- (16) The duration of process from evaluation of thesis to taking out the notification shall not exceed six (6) months.
- (17) Copy right: The University shall hold the copyright of the Thesis submitted to it.

14.0 Award of degree

The PhD degree will be awarded based on the recommendation of the Academic Council & Board of Governors subject to the following:

- i Successful completion of all requirements for the award of the degree
- ii Minimum duration requirement as specified by the regulation
- iii No pending disciplinary action; and
- iv No dues of any kind to the University

15.0 Power to Revise, Modify and Amend

Notwithstanding anything contained in the above regulations:

- (1) The Academic Council has the right to revise, amend or modify any of the above regulations from time to time with the approval of the Board of Governors, and shall be binding on all parties concerned, including the Students, Faculty, Staff, Schools, Department, Center and University Authorities.
- (2) In case of a dispute, the decision of the Academic Council will be final and binding.
- (3) In case of difficulty in application of any of the clauses of the regulations specified above, the Vice Chancellor shall have all the powers to amend/modify and remove the difficulty in the application of the relevant regulation.